

Embedding Metadata in Scanned Motion Picture Film Files:

Guideline for Federal Agency Use of DPX Files

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DRAFT for Public Comment

The FADGI Audio-Visual Working Group http://www.digitizationguidelines.gov/audio-visual/

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By the Federal Agencies Digitization Guidelines Initiative (FADGI) Audio-Visual Working Group http://www.digitizationguidelines.gov/audio-visual/

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1 WHAT IS THIS DOCUMENT?

This document outlines embedded file metadata recommendations for the DPX (Digital Picture Exchange) format, most often used to store imagery data from scanned motion picture film. It is a companion to another product from the Federal Agencies Digitization Guidelines Initiative (FADGI) Audio-Visual Working Group Film Scanning Subgroup efforts on digitized motion picture film:

Digitizing Motion Picture Film: Exploration of the Issues and Sample SOW. (Updated April 2016)
 (http://www.digitizationguidelines.gov/guidelines/Motion_pic_film_scan.html)

This document works together with other FADGI projects related to embedded metadata and file identifiers in audiovisual files. The companion documents are:

- Embedding Metadata in Digital Audio Files: Introductory Discussion for the Federal Agencies Guideline. (Updated April 2012). http://www.digitizationguidelines.gov/audio-visual/documents/Embed_Intro_20120423.pdf
- Discussion paper: Identifiers: Types and Characteristics (Updated November 2011). http://www.digitizationguidelines.gov/audio-visual/documents/IdentifiersTypesCharacteristics 20111121.pdf

2 SCOPE OF THIS DOCUMENT

This document defines FADGI implementations for embedded metadata in DPX file headers including *Core* fields defined by SMPTE as well as selected fields *Strongly Recommended*, *Recommended* or *Optional* for FADGI use. The non-core fields take advantage of existing header structures as well as define new metadata fields for the User Defined fields to document, among other things, digitization process history.

This document is limited in scope to embedded metadata guidelines and does not look to define other technical characteristics of what a DPX file might carry including image tonal settings, aspect ratios, bit depths, color models and resolution. Recommended capture settings are defined for a variety of source material in the companion FADGI document, *Digitizing Motion Picture Film: Exploration of the Issues and Sample SOW.* ¹

3 OVERVIEW OF THE DPX FILE FORMAT

Digital Picture Exchange (DPX) is a pixel-based (raster) file format intended for very high quality moving image content with attributes defined in a binary file header. There are two versions of the DPX format, version 1 defined by SMPTE 268M-1994² and version 2 defined by

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¹ See http://www.digitizationguidelines.gov/guidelines/Motion_pic_film_scan.html.

² This version of the specification is no longer available from SMPTE.

SMPTE 268M-2003 and Amd. 1:2012.³ DPX images are produced by scanning motion picture film⁴ or by using a camera that produces a DPX output.

Each DPX file represents a single image or frame in a sequence of a motion picture or video data stream with a single component, e.g., luma, or multiple components, e.g., red, green, blue; or Cb, Y, Cr (chroma-luma data). Many variations in multiple component data are supported. As a structured raster image format, DPX is intended to carry only picture or imagery data with corresponding sound carried in a separate format, typically WAVE files. In practice, this means that a single digitized motion picture film will consists of a sequence of tens of thousands of individual DPX files, each file corresponding to a frame of scanned film with sequentially numbered file names as well as a separate audio file for sound data.

4 DPX FILE STRUCTURE

A DPX file has four sections (including one optional section):

- Generic image data (including file information, image information, and image orientation information). Within the "Generic image data" section, a set of identified "core" fields is required. This core set comprises a minimum amount of information that a reader needs to read and interpret. A core-compliant reader must read the core fields but not necessarily be able to read non-core fields. A core-compliant writer must fill in the fore fields with valid values because blank or undefined values are not permitted. Non-core fields must be filled in with UNDEFINED values if the correct values are not known. In v.1 (268M-1994), this is further outlined in section 3; in v.2 (268M-2003), this is further detailed in section 4. The core fields are: magic number ("SDPX" or "XPDS"), offset to image data in bytes, version number ("V2.0" for version 2), total image size in bytes (including file header), image orientation, number of image elements, pixels per line, lines per image element, data sign, descriptor, transfer characteristic, colorimetric specification, bit depth, packing, encoding, and offset to data.
- Industry Specific Information (motion picture film information, television information) which generally describes the film and camera source from which the image frame data was derived. The motion-picture film information header outlined in section 6.1 includes helpful tags for film-related data including information derived from film edge codes such as film manufacturing ID code, film type, offset in perforations, prefix and count. Other structured data fields include format (e.g. Academy), frame position in sequence (incremental integer sequence of DPX files), sequence length (frames), held count (1 = default), frame rate of original (frames/s), shutter angle of camera in degrees, frame identification (e.g. keyframe), and slate information.
- *User defined data* (optional). Format and structure is not defined in SMPTE ST 268M-2003 to allow for customized information needed by some users but typical uses might be processing logs, etc.

³ For an explanation of the differences between the two versions, see http://www.digitalpreservation.gov/formats/fdd/fdd000178.shtml#notes

⁴ See Digitizing Motion Picture Film: Exploration of the Issues and Sample SOW: http://www.digitizationguidelines.gov/guidelines/Motion_pic_film_scan.html

⁵ See http://www.digitalpreservation.gov/formats/fdd/fdd000001.shtml

• *Image data*. SMPTE ST 268M:2003 does not include more specific information about the expected contents of this section aside from specifying "Image 8-K blocks are recommended for efficient use of tape-storage devices."

5 SMPTE CORE FIELDS

To provide a streamlined path for implementation and testing, SMPTE defines a core set of required fields which contains the minimum amount of information that a DPX reader needs to read and interpret a file. A list of the core fields is included in section 8 of this document, FADGI Use of SMPTE Core Fields.

According to SMPTE ST268, a core-compliant reader must read the core fields, but need not read the others; and a core-compliant writer must fill the core fields with valid values (undefined values are not permitted). Non-core fields must be filled with UNDEFINED values if the correct value is not known.

6 ANALYSIS OF EMBEDDED METADATA IN SAMPLE FILES

Analysis of sample DPX files submitted by the FADGI community demonstrates that implementation of core and non-core fields are not always consistent according to the SMPTE ST268 specification set. The sample files were created by film scanners from a range of well-known manufactures and contain a variety of imagery content, resolutions, bit depths and more. Post capture workflows varied with only one FADGI member embedding metadata after scanning.

The non-conformance of core fields is the most problematic because, as mentioned above, a core field contains the minimum amount of information that a DPX reader needs to read and interpret a file. If this minimum data is not present or incorrect, the long term sustainability of the file is in jeopardy.

A summary of the file analysis is available in the Appendix A and the anonymized raw data is available as an.xlsx file for download.⁶

Among core fields, non-conformance was demonstrated for the following fields in Table 1.

⁶ Please note that both the institution and manufacturer data are anonymized. The spreadsheet is quite large and

would be challenging to reduce to a readable version for this report: http://www.digitizationguidelines.gov/audio-visual/documents/DPX-sampleAnalysis-2016-condensed-anon.xlsx

| Field number | Content | Summary of Analysis |
|--------------|--|--|
| 2 | Offset to image data | Inconsistent. Sometimes used correctly, sometimes |
| | in bytes | this data is recorded in field 19 or 21.12 |
| 4 | Total image file size in bytes (including file header) | Inconsistent. Sometimes used correctly, sometimes blank |
| 21.12 | Offset to image data | Inconsistent. Sometimes used correctly, sometimes this data is recorded in field 2 or 19 |

Table 1. SMPTE Core Field Issues

Among non-core fields, one notable issue is that no non-core fields contain the value UNDEFINED the correct value is not known as defined by the specification. Typically, the field is blank or holds a null value. Other notable non-core issues are listed in Table 2.

| Field number | Content | Summary of Analysis |
|--------------|------------------------|--|
| 10 | Creation date/time: | Inconsistent. Sometimes used correctly but often |
| | yyyy:mm:dd:hh:mm:ssL | blank or the formatting is incorrect with dashes |
| | TZ | instead of colons or data in the wrong order. This |
| | | value is hard to determine in post-production so |
| | | embedding at time of creation would be the easiest |
| | | course of action. |
| 49 | Format - e.g. Academy | Inconsistent. Sometimes used as summary for |
| | | source format |
| 56 | Slate information | Inconsistent. Sometimes used correctly, sometimes |
| | | used as summary of source format |
| 66 | Temporal sampling rate | Inconsistent. Sometimes replicates data in field 53, |
| | or frame rate (Hz) | sometimes blank |

Table 2. Selected Non-Core Field Issues

Perhaps a contributing factor to non-compliance is the lack of definitions for each field listed in the specification. If the use and expected values of each field were declared, some of the confusion might be reduced.

7 OVERVIEW OF FADGI EMBEDDED METADATA GUIDELINES FOR DPX

The FADGI Audio-Visual Working Group has defined guidelines embedded metadata in DPX file headers including *core* fields defined by SMPTE as well as fields *Strongly Recommended*, *Recommended* or *Optional* for FADGI use. A summary by obligation is available in Appendix B. The non-core fields take advantage of existing header structures as well as define new metadata fields for the User Defined fields to document, among other things, digitization process history.

It should be noted that FADGI does not assign an obligation for ALL fields outlined in SMPTE ST268. Non-core fields not discussed in this guideline are left unchanged.

For all fields addressed in this guideline, FADGI has included definitions including for those fields which do not have a definition in SMPTE ST268. For all core fields, the FADGI use complies with the SMPTE use. In non-core fields, especially those with no definition from SMPTE, FADGI defines the use. One example is the Creator field (field 12) which FADGI proposes to be used for the name of the institution or entity responsible for the creation, maintenance, and preservation of this digital item. This use aligns with other FADGI embedded metadata guidelines for BWF and MXF AS-07 files.⁷

FADGI draws inspiration from EBU R98-1999: Format for the <CodingHistory> field in Broadcast Wave Format⁸ document for defining a use for field 76, *User defined data header*, to summarize data on the digitizing process including signal chain specifics and other elements. The Digitization process history field employs a defined string variable for each parameter of the digitization process: the first line documents the source film reel, the second line contains data on the capture process, the third line of data records information on the storage of the file.

8 FADGI USE OF SMPTE CORE FIELDS

| Magic number | | |
|----------------------------|---|--|
| SMPTE ST 268 Definition | Indicates the start of the image file and is used to determine byte order. The file format allows machines to create files in either of the two most common byte orders, whichever is easier for that machine. Byte-order translation is only required for machines reading files that were created on a machine with reverse byte order. Programs creating DPX files should write the magic number with the ASCII value of "SDPX" (Ox53445058 hex). Programs reading DPX files should use the first four bytes to determine the byte order of the file. The first four bytes will be S, D, P, X if the byte order is most significant byte first, or X, P, D, S if the byte order is least significant byte first. | |
| FADGI Use | Same as SMPTE ST 268 | |
| Field number | 1 | |
| Byte offset start | 0 | |
| Byte offset end | 3 | |
| Byte limit | 4 | |
| Data type | U32 | |
| Header location | File information header | |
| Mandatory/optional | Required by SMPTE 268 | |

⁷ BWF: Originator (bext chunk) (see http://www.digitizationguidelines.gov/audio-visual/documents/Embed_Guideline_20120423.pdf); MXF AS-07:

AS_07_Core_DMS_ResponsibleOrganizationName; AS_07_Core_DMS_ResponsibleOrganizationCode (see http://www.amwa.tv/downloads/specifications/AS-07 Proposed Application Specification.pdf)

⁸ See http://tech.ebu.ch/docs/r/r098.pdf

| Values | SDPX; XDPX | |
|--------|------------|--|
|--------|------------|--|

| Offset to image data in bytes | | |
|-------------------------------|---|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] | |
| FADGI Use | Same intended use as SMPTE ST 268. Declaration of the byte offset to the start of the image data. | |
| Field number | 2 | |
| Byte offset start | 4 | |
| Byte offset end | 7 | |
| Byte limit | 4 | |
| Data type | U32 | |
| Header location | File information header | |
| Mandatory/optional | Required by SMPTE 268 | |
| Example | 00 00 08 00 [translated from hex values = 2048] | |

| Version number of header format (V1.0 or V2.0) | | |
|--|---|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] | |
| FADGI Use | Same intended use as SMPTE ST 268. Declaration of the version number of the DPX format. | |
| Field number | 3 | |
| Byte offset start | 8 | |
| Byte offset end | 15 | |
| Byte limit | 8 | |
| Data type | ASCII | |
| Header location | File information header | |
| Mandatory/optional | Required by SMPTE 268 | |
| Values | V1.0 V2.0 | |

| Total image file size in bytes (including file header) | | |
|--|--|--|
| SMPTE ST 268 Definition | Indicates the size of the entire file, i.e. containing both header and image data. | |
| FADGI Use | Same use as SMPTE ST 268. | |
| Field number | 4 | |
| Byte offset start | 16 | |
| Byte offset end | 19 | |
| Byte limit | 4 | |
| Data type | ASCII | |
| Header location | File information header | |
| Mandatory/optional | Required by SMPTE 268 | |
| Example | 00 01 00 00 [translated from hex values = 65536] | |

| Image orientation | | |
|----------------------------|---|--|
| SMPTE ST 268 Definition | Indicates the orientation of the image data required for display. The possible orientations are listed in table 2. The standard orientation for core set images (code 0) is left to right (line direction) and top to bottom (frame direction). | |
| FADGI Use | Same use as SMPTE ST 268. | |
| Field number | 17 | |
| Byte offset start | 768 | |
| Byte offset end | 769 | |
| Byte limit | 2 | |
| Data type | U16 | |
| Header location | Image information header | |
| Mandatory/optional | Required by SMPTE 268 | |
| Example | 00 00 | |

| | From SMPTE ST 268 Table 2. |
|--------|---|
| Values | 0 = Left to right Top to bottom (Orientation 0 is the only one supported in the core set file format.) 1 = Right to left Top to bottom 2 = Left to right Bottom to top 3 = Right to left Bottom to top 4 = Top to bottom Left to right 5 = Top to bottom Right to left 6 = Bottom to top Left to right 7 = Bottom to top Right to left 8 -254 = Reserved for future use |

| Number of image elements (1 - 8) | | |
|----------------------------------|---|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] | |
| FADGI Use | Same intended use as SMPTE ST 268. Declaration of the number of image elements present and described in fields 21 and subfields | |
| Field number | 18 | |
| Byte offset start | 770 | |
| Byte offset end | 771 | |
| Byte limit | 2 | |
| Data type | U16 | |
| Header location | Image information header | |
| Mandatory/optional | Required by SMPTE 268 | |
| Example | 00 01 [one image element described in fields 21 and subfields] | |

| Pixels per line | | |
|----------------------------|----------------------------------|--|
| SMPTE ST 268 Definition | Active number of pixels per line | |
| FADGI Use | Same use as SMPTE ST 268. | |
| Field number | 19 | |
| Byte offset start | 772 | |
| Byte offset end | 775 | |
| Byte limit | 4 | |
| Data type | U32 | |
| Header location | Image information header | |

| Mandatory/optional | Required by SMPTE 268 |
|--------------------|--|
| Example | 00 00 08 00 [translated from hex values = 2048 for 2K] |
| Example | 00 00 10 00 [translated from hex values = 4096 for 4K] |

| Lines per image element | |
|----------------------------|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | Active number of lines for each image element. |
| Field number | 20 |
| Byte offset start | 776 |
| Byte offset end | 779 |
| Byte limit | 4 |
| Data type | U32 |
| Header location | Image information header |
| Mandatory/optional | Required by SMPTE 268 |
| Example | 00 00 06 14 [translated from hex values = 1556 for 2K] |
| Example | 00 00 08 70 [translated from hex values = 2160 for 4K] |

| Data sign | |
|----------------------------|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | Identification of the image's data type. Signed data can hold both positive and negative values while unsigned types can hold large positive values but cannot hold negative values. DPX Core set images are unsigned by default as specified in ST 268. |
| Field number | 21.1 |
| Byte offset start | 780 |
| Byte offset end | 783 |
| Byte limit | 4 |
| Data type | U32 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Values | 0 = unsigned; 1 = signed; Core set images are unsigned. |

| Descriptor | |
|----------------------------|--|
| SMPTE ST 268 Definition | Defines the components that make up an image element and their pixel packing order. The valid components are listed in table 1. |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.6 |
| Byte offset start | 800 |
| Byte offset end | 800 |
| Byte limit | 1 |
| Data type | U8 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Values | From SMPTE ST 268 table 1. 0 = User defined (or unspecified single component) 1 = Red (R) 2 = Green (G) 3 = Blue (B) 4 = Alpha (matte) 6 = Luma (Y) - Note 1 7 = Color Difference (CB, CR, subsampled by two) 8 = Depth (Z) 9 = Composite video 10 - 49 = Reserved for future single components 50 = R,G,B - Note 2 51 = R,G,B, Alpha (A) - Note 2 52 = A, B, G, R - Note 3 53-99 = Reserved for future RGB ++ formats 100 = CB, Y, CR, Y (4:2:2) based on SMPTE 125M 101 = CB, Y, A, CR, Y, A (4:2:2:4) 102 = CB, Y, CR (4:4:4) 103 = CB, Y, CR, A (4:4:4:4) 104 -149 = Reserved for future cBYeR ++ formats 150 = User-defined 2-component element 151 = User-defined 3-component element 152 = User-defined 6-component element 154 = User-defined 6-component element 155 = User-defined 7-component element 156 = User-defined a-component element |

| Transfer characteristic | |
|----------------------------|---|
| SMPTE ST 268 Definition | Defines the amplitude transfer function used to transform the data from a linear original. The inverse of the transfer function is needed to recreate a linear image element. |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.7 |
| Byte offset start | 801 |
| Byte offset end | 801 |
| Byte limit | 1 |
| Data type | U8 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Values | Listed in SMPTE ST 268M-2003 Amendment 1:2012, table 5A. 0 = User defined 1 = Printing density 2 = Linear 3 = Logarithmic [to be defined by SMPTE I23 Technology Committee, sub-group on "Transfer Characteristics"] 4 = Unspecified video 5 = SMPTE 274M 6 = ITU-R 709-4 7 = ITU-R 601-5 system B or G (625) 8 = ITU-R 601-5 system M (525) 9 = Composite video (NTSC); see SMPTE 170M 10 = Composite video (PAL); see ITU-R 624-4 11 = Z (depth) - linear 12 = Z (depth) - homogeneous (distance to screen and angle of view must also be specified in user-defined section) 13 = SMPTE ST 2065-3 Academy Density Exchange Encoding (ADX) 14-254 = Reserved for future use |

| Colorimetric specification | |
|----------------------------|---|
| SMPTE ST 268 Definition | Defines the appropriate color reference primaries (for additive color systems like television) or color responses (for printing density). |
| FADGI Use | Same use as SMPTE ST 268. |

| Field number | 21.8 |
|--------------------|--|
| Byte offset start | 802 |
| Byte offset end | 802 |
| Byte limit | 1 |
| Data type | U8 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Values | Listed in SMPTE ST 268M-2003 Amendment 1:2012, table 5B. 0 = User defined 1 = Printing density 2 = Not applicable 3 = Not applicable 4 = Unspecified video 5 = SMPTE 274M 6 = ITU-R 709-4 7 = ITU-R 601-5 system B or G (625) 8 = ITU-R 601-5 system M (525) 9 = Composite video (NTSC); see SMPTE 170M 10 = Composite video (PAL); see ITU-R 624-4 11 = Not applicable 12 = Not applicable 13 = SMPTE ST 2065-3 Academy Density Exchange Encoding (ADX) 14-254 = Reserved for future use |

| Bit depth | |
|----------------------------|--|
| SMPTE ST 268 Definition | Defines the number of bits for each component in the image element. All components must have the same bit depth. |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.9 |
| Byte offset start | 803 |
| Byte offset end | 803 |
| Byte limit | 1 |
| Data type | U8 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |

| Values | Valid bit depths are 1-, 8-, 10-, 12-, and 16-bit integer, and 32- and 64-bit IEEE floating point (see table 3A) as listed in ST 268 table 3A. |
|--------|--|
|--------|--|

| Packing | |
|----------------------------|--|
| SMPTE ST 268 Definition | For image element n, defines the data packing mode. |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.10 |
| Byte offset start | 804 |
| Byte offset end | 805 |
| Byte limit | 2 |
| Data type | U16 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Values | Listed in ST 268 table 3B. 0 = Packed into 32-bit words 1 = Filled to 32-bit words, method A (note: this is standard) 2 = Filled to 32-bit words, method B (note: this is non-standard) 3 = Reserved for future use |

| Encoding | |
|----------------------------|--|
| SMPTE ST 268 Definition | For image element n, defines whether or not the element is runlength encoded |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.11 |
| Byte offset start | 806 |
| Byte offset end | 807 |
| Byte limit | 2 |
| Data type | U16 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |

| | Listed in ST 268 table 3C. |
|--------|--|
| Values | 0 = No encoding applied 1 = Run-length encoded 2-7 = Reserved for future use |

| Offset to data | |
|----------------------------|--|
| SMPTE ST 268 Definition | To data for image element n, defines the offset in bytes to the image data for element n from the beginning of the file. |
| FADGI Use | Same use as SMPTE ST 268. |
| Field number | 21.12 |
| Byte offset start | 808 |
| Byte offset end | 811 |
| Byte limit | 4 |
| Data type | U32 |
| Header location | Image information header: Data structure for image element |
| Mandatory/optional | Required by SMPTE 268 |
| Example | 00 00 08 00 [translated from hex values = 2048] |

9 FADGI STRONGLY RECOMMENDED FIELDS

If the Working Group had the authority to do so, these would be "required" although they are not core or required fields according the SMPTE ST268.

| Image filename | |
|----------------------------|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | This element contains the filename which uniquely differentiates one DPX frame from another within the same sequence at the file level. Filenames should be unique certainly with the same DPX sequence and ideally within the collection and holding institution. |
| Field number | 10 |
| Byte offset start | 36 |
| Byte offset end | 135 |
| Byte limit | 100 |

| Data type | ASCII string |
|--------------------|--|
| Mandatory/optional | Strongly recommended (if the Working Group had authority: "required") |
| Values | Free text. Filename.extension. Follow widely accepted filenaming protocols to avoid spaces and special characters in filename. |
| Example LC/AFC | Ranch_Pictures_Reel_One_DPX00086424.dpx |
| Example NMAAHC | [HouseRuleDesignator]_0086400.dpx |
| Notes | Film scanners typically include an option to auto-embed this at time of capture. This also could be batch embedded post-process, especially if there's a desire to include a preface to the file name or change information in the file name (e.g., to include project name or file path for example). |

| Creation date/time: yyyy:mm:dd:hh:mm:ssL TZ | |
|---|---|
| SMPTE ST 268 Definition | Defined as yyyy:mm:dd:hh:mm:ssL TZ, formatted according to ISO 8601. |
| FADGI Use | This element contains the file creation date and time, not of the creation of the source object which is recorded in SourceDateTime (field 37) This is understood to mean the local date in the timezone for the archival entity; defined by ISO 8601 datetime indication, which unambiguously indicates date and time in terms of UTC (Coordinated Universal Time or Temps Universel Coordonné). When the enter date/time string is unknown, ISO 8601 allows for any number of values to be dropped from the date/time representations but data must be in the order from the least-to-most significance. For example, when only the month and year is known, "2016:12" (representing December [12 th month] of the year 2016) is a valid ISO 8601 date. If day, month and year is known, "2016:12:01" (representing the first day of December [12th month] in the year 2016) is a valid ISO 8601 date. |
| Field number | 10 |
| Byte offset start | 136 |
| Byte offset end | 159 |
| Byte limit | 24 |
| Data type | ASCII string |

| Mandatory/optional | Strongly recommended (if the Working Group had authority: "required") |
|--------------------|---|
| Values | ISO 8601 yyyy:mm:dd:hh:mm:ssL TZ. Year is defined from 0000 to 9999; Month is defined from 01 to 12 (use leading zeroes if less than 10); Day is defined from 01 to 28, 29, 30 or 31 (use leading zeroes if less than 10); Hour is defined from 00 through 23 (am/pm NOT allowed); Minute is defined from 00 through 59; Second is defined from 00 through 59; Time zone is Local Time Zone;" L TZ = Z (time zone = UTC), or L TZ = +/-hh, or L TZ = +/-hhmm (local time is offset from UTC) The separator between the items is a colon [:] with no spaces. Provide as much accurate data as is available, making use of abbreviated implementations of the full ISO date/time string. Examples are acceptable abbreviated strings are available: https://www.w3.org/TR/NOTE-datetime |
| Example | 2016:03:22:19:07:12:EDT [full string] |
| Example | 2016:12:01 [only year month day known] |
| Example | 2016:12 [only year month known] |
| Example | 2016 [only year known] |
| Notes | This field should be populated by data generated at time of capture via the film scanner. Sample file analysis demonstrates that while is data is often present in the field, it may not follow ISO 8601 formatting rules to the letter. This data would not be easy to batch embed post process. |

| Creator | |
|----------------------------|---|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |

| FADGI Use | This element contains the institution or entity responsible for the creation, maintenance, and preservation of this digital item. Entity designations should be as specific as possible including a two-character county code to avoid the potential for conflict in the responsible organization's name. If space permits within the 100 byte limit, the archival entity should be identified at the most specific level within the institution. Use a standard abbreviation of entity names such as those found in the Guide to Government Acronyms & Abbreviations. If an entity is not on this list, use a familiar abbreviation. Use the standard two-character ISO 3166 alpha 2 country code list. NB: Information about the film scanner is recorded in Input Device Name and Input Device Serial Number (fields 38 and 39) |
|--------------------|---|
| Field number | 12 |
| Byte offset start | 160 |
| Byte offset end | 259 |
| Byte limit | 100 |
| Data type | ASCII string |
| Mandatory/optional | Strongly recommended (if the Working Group had authority: "required") |
| Values | [Country code]comma space[Entity name] |
| Example LC | US, LOC/AFC [AFC = American Folklife Center] |
| Example NARA | US, NARA |
| Example NMAAHC | US, NMAAHC |

| Project name | |
|----------------------------|---|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |

| FADGI Use | This element is recommended as a container for identifiers for the work represented by the DPX sequence at hand and/or as pointers to additional, non-embedded (externally maintained) metadata. Members of the Working Group have repeatedly encountered the need to provide multiple identifiers for a given item. In some cases, the 200 byte limit will prevent an agency from listing all of its identifiers; the most important or helpful should be provided starting with the principal identifier or the "best" identifier which uniquely differentiates one object from another. For more information about identifiers, see FADGI's 2012 document Identifiers: Types and Characteristics Do not embed identifiers that could pose a possible security risk, e.g., by exposing exact pathnames. NOTE: The Working Group perceived value in the practice of tagging of identifiers (see examples, typically URLs) to permit them to be properly understood but wished to leave this as optional. |
|--------------------|---|
| Field number | 13 |
| Byte offset start | 260 |
| Byte offset end | 459 |
| Byte limit | 200 |
| Mandatory/optional | Strongly recommended (if the Working Group had authority: "required") |
| Values | If labeled: Identifier [comma space] type [comma space] comment [semicolon-space if more than one identifier] If no labeling: Identifier |
| Example NMAAHC | 2012.79.1.16.1a; Alice Coltrane; Pearl Bowser collection Object component ID #; Title [space semicolon space]; Local collection name |

10 FADGI RECOMMENDED FIELDS

| Right to use or copyright statement | |
|-------------------------------------|---|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |

| FADGI Use | Information about copyright and other restrictions (donor, privacy, etc.). Usage by federal agencies will often refer to the documentation of restrictions provided by other, non-embedded metadata. If used, this element may contain the information as known at the time of embedding. The Working Group understands that metadata may be updated over time. Users who refer to an embedded block of metadata should understand that this may not represent the latest and best information, and they should be counseled to follow one of the identifiers in order to obtain later and/or better data. |
|--------------------|---|
| Field number | 14 |
| Byte offset start | 460 |
| Byte offset end | 659 |
| Byte limit | 200 |
| Data type | ASCII strong |
| Mandatory/optional | Recommended for FADGI use |
| Values | Free text. If there are multiple copyrights or other restriction statements, separate them by a semicolon followed by a space. |
| Example LC | See Copyright Restriction Statement. [Used by LC, American Folklife Center] |
| Example NMAAHC | To copy or reproduce this asset in any form and/or to obtain credit information, contact Smithsonian NMAAHC Rights and Reproductions department. |

| Source image date/time: yyyy:mm:dd:hh:mm:ssL TZ | |
|---|---|
| SMPTE ST 268 Definition | Defines the creation time of the source image from which the image was extracted or processed. Formatting is as per clause 3.4. |

| FADGI Use | This element contains the creation date and time of the source object, not the digital file which is recorded in CreationDateTime (field 10) This is understood to mean the local date in the timezone for the archival entity; defined by ISO 8601 datetime indication, which unambiguously indicates date and time in terms of UTC (Coordinated Universal Time or Temps Universel Coordonné). When the enter date/time string is unknown, ISO 8601 allows for any number of values to be dropped from the date/time representations but data must be in the order from the least-to-most significance. For example, when only the month and year is known, "2016:12" (representing December [12th month] of the year 2016) is a valid ISO 8601 date. If day, month and year is known, "2016:12:01" |
|--------------------|---|
| | (representing the first day of December [12th month] in the year |
| | 2016) is a valid ISO 8601 date. |
| Field number | 37 |
| Byte offset start | 1532 |
| Byte offset end | 1555 |
| Byte limit | 24 |
| Data type | ASCII string |
| Mandatory/optional | Recommended for FADGI use |
| Values | ISO 8601 yyyy:mm:dd:hh:mm:ssL TZ. Year is defined from 0000 to 9999; Month is defined from 01 to 12 (use leading zeroes if less than 10); Day is defined from 01 to 28, 29, 30 or 31 (use leading zeroes if less than 10); Hour is defined from 00 through 23 (am/pm NOT allowed); Minute is defined from 00 through 59; Second is defined from 00 through 59; Time zone is Local Time Zone;" L TZ = Z (time zone = UTC), or L TZ = +/-hh, or L TZ = +/-hhmm (local time is offset from UTC) |
| | The separator between the items is a colon [:] with no spaces. |
| | Provide as much accurate data as is available, making use of abbreviated implementations of the full ISO date/time string. Examples are acceptable abbreviated strings are available: https://www.w3.org/TR/NOTE-datetime |
| Example | 2016:03:22:19:07:12:EDT [full string] |
| Example | 2016:12:01 [only year month day known] |
| Example | 2016:12 [only year month known] |

| Example 2016 [only year known] | |
|--------------------------------|--|
|--------------------------------|--|

| Input device name | |
|----------------------------|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | Manufacturer name and model name of film scanner that digitally scanned the motion picture film and produced the DPX file. |
| Field number | 38 |
| Byte offset start | 1556 |
| Byte offset end | 1587 |
| Byte limit | 32 |
| Data type | ASCII string |
| Mandatory/optional | Recommended for FADGI use |
| Values | Free text. |
| Example NARA | Scanner4K (anonymized scanner name) |

| Input device serial number | |
|----------------------------|---|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | The serial number of film scanner named in Input Device Name (field 38) that digitally scanned the motion picture film and produced the DPX file. |
| Field number | 39 |
| Byte offset start | 1588 |
| Byte offset end | 1619 |
| Byte limit | 32 |
| Data type | ASCII string |
| Mandatory/optional | Recommended for FADGI use |
| Values | Free text. |
| Example | LSQ7D5LPS1 |

| Frame position in sequence | |
|----------------------------|--|
| SMPTE ST 268 Definition | Defines the frame number in the image sequence. 4 byte U32 unsigned 32-bit integer. |
| FADGI Use | Same as ST 268. Defines the frame number within the sequence of DPX files. |
| Field number | 50 |
| Byte offset start | 1712 |
| Byte offset end | 1715 |
| Byte limit | 4 |
| Data type | U32 unsigned 32-bit integer |
| Mandatory/optional | Recommended for FADGI use |
| Values | This number is often the same as the file name when auto assigned as a one-up integer during the digitization process. |
| | NOTE: The values are captured as hex values so would need to be translated to decimal values to compare against the auto assigned film name. |
| Example NMAAHC | 00 01 5F 90 [translated from hex values = 90000 decimal value] |

| Sequence length (frames) | |
|----------------------------|---|
| SMPTE ST 268 Definition | Defines the total number of frames in the image sequence. 4 byte U32 unsigned 32-bit integer |
| FADGI Use | Same as ST 268. Defines the total number of frames in the DPX sequence. Sequence length and frames per second can be used to derive duration/run time. |
| Field number | 51 |
| Byte offset start | 1716 |
| Byte offset end | 1719 |
| Byte limit | 4 |
| Data type | U32 unsigned 32-bit integer |
| Mandatory/optional | Recommended for FADGI use |

| Values | This value would be derived post-capture, after the entire sequence has been processed. |
|---------|---|
| Example | 01 00 00 00 [translated from hex values = 16777216 decimal value] |

11 FADGI OPTIONAL FIELDS

| Film mfg. 10 code (2 digits from film edge code) | |
|--|--|
| SMPTE ST 268 Definition | Encodes data from machine readable portion of film edge code, according to SMPTE ST 254:2008 [Motion-Picture Film (35-mm) — Manufacturer-Printed Latent-Image Identification Information.] |
| FADGI Use | The 2 digit code to identify the manufacturer of the digitized film |
| Field number | 43 |
| Byte offset start | 1664 |
| Byte offset end | 1665 |
| Byte limit | 2 |
| Data type | ASCII string |
| Mandatory/optional | Optional for FADGI use |
| Values | Values from SMPTE ST 254:2008, table 3 01 = AGFA-GEVAERT, N.V 02 = EASTMAN KODAK CO 03 = FUJIFILM CO 04 = ILFORD LTD 00 = Other or nondesignated |
| Example | 02 53 = Kodak Acetate-base 35 mm (or larger) lab/print film [example includes film manufacturer and film type] |

| Film type (2 digits from film edge code) | |
|--|--|
| SMPTE ST 268 Definition | Encodes data from machine readable portion of film edge code, according to SMPTE ST 254:2008 Motion-Picture Film (35-mm) — Manufacturer-Printed Latent-Image Identification Information. |

| FADGI Use | The 2 digit code to identify the type of film that was digitized. Although the data represented by the code varies by manufacturer, the code may define information about the gauge, emulsion, and film base and more. |
|--------------------|---|
| Field number | 44 |
| Byte offset start | 1666 |
| Byte offset end | 1667 |
| Byte limit | 2 |
| Data type | ASCII string |
| Mandatory/optional | Optional for FADGI use |
| Values | The second character shall be a two-digit product specification code assigned at the discretion of the manufacturer. If the manufacturer does not wish to identify the product, the digits 00 shall be encoded. A list of film stocks is available on Wikipedia: https://en.wikipedia.org/wiki/List of motion picture film stocks |
| Example | 02 53 = Kodak Acetate-base 35 mm (or larger) lab/print film [film manufacturer and film type] |

11.1 Implementation of User Defined Data Field for FADGI's Digitization Process History Information

Valid implementation of User Defined Data requires a trilogy of connected fields:

- Field 8 | Offset 32 | Length 4 | Type U32 | User-defined header length in bytes
- Field 75 | Offset 2048 | Length 32 | Type ASCII | User identification
- Field 76 | Offset 2080 | Length XX | Type TBD | User Defined can be anything up to 1 Mbyte

Field 8 must equal the sum of Field 75 + Field 76.

Note: To the best of FADGI's knowledge, only one instance of User Defined data is permitted per file because the User Identification (field 75) is only declared once at offset 2048, although in theory, multiple identifiers could be contained with the 32 bytes allotted. Practically, it might not be feasible to have multiple blocks of data within the User Defined fields.

When data already exists in the User Defined fields (fields 75 and 76), a user must decide if the data should be kept or whether the user should replace that data with FADGI process history data. Perhaps a future tool could help the user export the existing data (or wrap the existing data into the FADGI process history) for future use.

Comments welcome!

FADGI's implementation of the User Defined Data field creates the structure to document digitization process history using coded values to summarize the source film reel, data on the capture process and data about the characteristics of the digital file. The User Identification field (field 75) labels the owner or type of information that exists in the User Defined field (field 76). When implementing FADGI's Digitization Process History (field 76) structure, the value of User identification (field 75) should be "FADGI digitization process history".

| User identification | |
|----------------------------|--|
| SMPTE ST 268 Definition | [ST268 has no formal definition for this field] |
| FADGI Use | Label to identify the FADGI Digitization Process History structed data block in field 76. |
| Field number | 75 |
| Byte offset start | 2048 |
| Byte offset end | 2079 |
| Byte limit | 32 |
| Data type | ASCII string |
| Mandatory/optional | Optional for FADGI use but Required if implementing Digitization process history in field 76 |
| Values | FADGI digitization process history [31 bytes] |

| Digitization process history | |
|------------------------------|---|
| SMPTE ST 268 Definition | User defined data header: User defined - Postage stamp, processing logs, etc. (length is variable with maximum length of 1 Mbyte) |
| FADGI Use | This FADGI-defined element is designed to summarize data on the digitizing process including signal chain specifics and other elements. Based on the 'Coding History' element defined by EBU R98-1999: Format for the <coding history=""> field in Broadcast Wave Format files for the constrained space within the Broadcast Wave 'bext' chunk, this field employs a defined string variable for each parameter of the digitization process. Data in this field defined as a collection of strings, each presented on a separate line, containing a history of the coding processes applied to the file. The first line documents the source film reel, the second line contains data on the capture process, the third line of data records information on the storage of the file. A new line is added when the coding history related to the file is changed.</coding> |

| Field number | 76 |
|--------------------|---|
| Byte offset start | 2080 |
| Byte offset end | xx |
| Byte limit | Length is variable with maximum length of 1 Mbyte |
| Data type | ASCII string |
| Mandatory/optional | Optional for FADGI use but Required if field 75 contains "FADGI digitization process history" |
| Values | The first line documents the source film reel, the second line contains data on the capture process and the third line contains data on the storage of the file. A new line is added when the coding history related to the file is changed. Each variable within a string is separated by a comma-space and each line should end with a carriage return and line feed. Each variable is optional, to be used when needed. O=format (reversal, print, positive, negative, DPXv1, DPXv2, etc.) G=gauge (super8mm, 8mm,16mm, 35mm, etc.) C=color (color, BW) S=sound (silent, composite optical, composite mag, separate optical reel, separate mag reel, etc.) D=summary of condition issues, especially if condition impacts visual quality of digitized image F=frames per second A=aspect ratio L=timing, grading (one-light, scene) W=bit depth (12-bit, 10-bit, 8-bit, etc.) R=resolution (2K, 4K, 8K, etc.) M=color model (RGB Log, etc.) N=name of vendor or operator who scanned film (if applicable) T=free ASCII text string; contains no commas but semicolons may be used. |

| Example | O=positive, G=16mm, C=color, S=silent, F=24, A=4:3,D=warped O=DPXv1, L=one-light, W=10-bit, R=2K, M=RGB Log, T=FilmScannerA; SN123456; in-house O=DPXv1, W=10-bit, R=2K, M=RGB Log [Explanation: Line 1 reads: a 16mm positive color print, with no associated soundtrack, at 24fps and 4:3 aspect ratio (1.375:1). The film was warped and impacted the visual quality of the image. Line 2 reads: film was digitized to a DPX version 1 file. One-light grading was employed. The image is 10-bit at 2K resolution (2048x1556) with RGB Log color model. The film was digitized via FilmScannerA (anonymized name of film scanner), serial number 123456, which is an in-house film scanner. Line 3 reads: the file is stored as DPXv1, 10-bit 2K RGB log] |
|---------|--|
| Example | O=positive, G=35mm, C=BW, S=optical, F=24, A=4:3, T=composite optical O=DPXv2, L=one-light, W=10-bit, R=4K, M=RGB Log, T= FilmScannerB; SN98765; SoftwareX; soundtrack in frame; offsite, N=ScanningVendor1 O=DPXv2, W=10-bit, R=2K, M=RGB Log [Explanation: Line 1 reads: a 16mm positive color print, with a composite optical soundtrack, at 24fps and 4:3 aspect ratio (1.375:1). The film had some shrinkage. Line 2 reads: film was digitized to a DPX version 2 file. One-light grading was employed. The image is 10-bit at 4K resolution (4096x3112) with RGB Log color model. The film was digitized via FilmScannerB (anonymized name of film scanner), serial number 98765 and processed through SoftwareX (anonymized name of workstation processing software). The optical soundtrack is captured within the image frame. The film was digitized offsite by ScanningVendor1 (anonymized name of digitization vendor). Line 3 reads: the file is stored as DPXv2, 10-bit 4K RGB log] |

12 SPECIFICATIONS AND REFERENCES

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WC3. Date and Time Formats. Online: https://www.w3.org/TR/NOTE-datetime. Accessed: December 1, 2016.

14 APPENDIX A: SUMMARY OF SAMPLE FILE ANALYSIS

SMPTE Core Field

SMPTE Core Field Typically Implemented as Specified

Non SMPTE Core Field NOT Typically Implemented as Specified

Non SMPTE Core Field NOT Typically Implemented as Specified

File information header

| | | FI | le informati | on neader | | | |
|-------|--------|--------|--------------|----------------|--|--|---|
| Field | Offset | Length | Туре | SMPTE Core? | Content | Sample summary | SMPTE 268M definitions |
| 1 | 0 | 4 | U32 | Core | Magic number (SDPX ASCII) | Typically implemented according to spec | Indicates the start of the image file and is used to determine byte order. The file format allows machines to create files in either of the two most common byte orders, whichever is easier for that machine. Byte-order translation is only required for machines reading files that were created on a machine with reverse byte order. Programs creating DPX files should write the magic number with the ASCII value of "SDPX" (Ox53445058 hex). Programs reading DPX files should use the first four bytes to determine the byte order of the file. The first four bytes will be S, D, P, X if the byte order is most significant byte first, or X, P, D, S if the byte order is least significant byte first. |
| 2 | 4 | 4 | U32 | Core | Offset to image data in bytes | Inconsistent. Sometimes used correctly, sometimes this data is recorded in field 19 or 21.12 | no definition |
| 3 | 8 | 8 | ASCII | Core | Version number of header format (V1.0 or V2.0) | Consistently implemented according to spec | no definition |
| 4 | 16 | 4 | U32 | Core | Total image file size in bytes (including file header) | Inconsistent. Sometimes used correctly, sometimes blank | Indicates the size of the entire file, i.e. containing both header and image data. |

| 5 | 20 | 4 | U32 | Ditto key (0 = same as previous frame; 1 = new) | Inconsistent. Sometimes used correctly, sometimes blank | Indicates that all fields are the same as the previous frame in the sequence except for fields related to the frame number (48,50,58,61). Also, the offsets to the image data (21.12) will change if runlength encoding is used. The ditto key is a read-time shortcut only, and the other fields in the header must still be filled in when the file is created. |
|----|-----|-----|-------|---|---|---|
| 6 | 24 | 4 | U32 | Generic section header length in bytes | Inconsistent. Sometimes used correctly, sometimes not. | no definition |
| 7 | 28 | 4 | U32 | Industry specific header length in bytes | Inconsistent. Sometimes used correctly, sometimes not. | no definition |
| 8 | 32 | 4 | U32 | User-defined header length in bytes | Inconsistent. Sometimes used correctly, sometimes not. | no definition |
| 9 | 36 | 100 | ASCII | Image filename | Inconsistent. Sometimes used correctly, sometimes not. | no definition |
| 10 | 136 | 24 | ASCII | Creation date/time: yyyy:mm:dd:hh:mm:ssL TZ | Inconsistent. Sometimes used correctly, sometimes not. Often formatting is not correct | Defined as yyyy:mm:dd:hh:mm:ssL TZ, formatted according to ISO 8601. |
| 12 | 160 | 100 | ASCII | Creator | Inconsistent. Sometimes contains scanner name, sometimes holding institution, sometimes blank | no definition |
| 13 | 260 | 200 | ASCII | Project name | Inconsistent. Sometimes contains identifier, often blank | no definition |
| 14 | 460 | 200 | ASCII | Right to use or copyright statement | Inconsistent. Sometimes contains identifier, often blank | no definition |
| 15 | 660 | 4 | U32 | Encryption key (FFFFFFF unencrypted) | Inconsistent. Sometimes contains identifier, often blank | no definition |
| 16 | 664 | 104 | TBD | Reserved for future use | | no definition |

Image information header

| Field | Offset Length | Туре | Core | Content | | |
|-------|---------------|------|------|---------|--|--|
|-------|---------------|------|------|---------|--|--|

| 17 | 768 | 2 | U16 | Core | Image orientation (see table 2) | Consistently implemented according to spec | Indicates the orientation of the image data required for display. The possible orientations are listed in table 2. The standard orientation for core set images (code 0) is left to right (line direction) and top to bottom (frame direction). |
|----|-----|---|-----|------|----------------------------------|--|---|
| 18 | 770 | 2 | U16 | Core | Number of image elements (1 - 8) | Consistently implemented according to spec | no definition |
| 19 | 772 | 4 | U32 | Core | Pixels per line | Consistently implemented according to spec | Active number of pixels per line |
| 20 | 776 | 4 | U32 | Core | Lines per image element | Consistently implemented according to spec | no definition |

Data structure for image element 1

A data structure (group of fields) is repeated for each image element. An image element can contain a single component or multiple components, as defined in table 1. The presentation order of both whole elements and components of multi-component image elements is also defined in Table 1. All components in an image element must have the same number of bits, transfer function, and colorimetric specification.

| 21.1 | 780 | 4 | U32 | Core | Data sign (0 = unsigned; 1 = signed) (core set images are unsigned) | Consistently implemented according to spec | no definition |
|------|-----|---|-----|------|---|---|--|
| 21.2 | 784 | 4 | U32 | | Reference low data code value | Consistently implemented according to spec - usually to default value | Defines the minimum expected code value for image data. For printing density, the default value is 0. For ITU-R 601-5 luma, the default value is 16. |

| 21.3 | 788 | 4 | R32 | | Reference low quantity represented | Consistently implemented according to spec - usually to default value | reference low quantity represented: Defines the corresponding signal level or measured value to the reference low data code value. For printing density, the default is a density of 0.00. For ITU-R 601-5, the luma default is 0 mV. [21.3] |
|-------|-----|---|-----|------|---|---|--|
| 21.4 | 792 | 4 | U32 | | Reference high data code value | Inconsistent. Sometimes used correctly, sometimes not. | Defines the maximum expected code value for image data. For 10-bit printing density, the default code value is 1023. For ITU-R 601-5 luma, the default value is 235. |
| 21.5 | 796 | 4 | R32 | | Reference high quantity represented | Inconsistent. Sometimes used correctly, sometimes not. | Defines the corresponding signal level or measured value to the reference high data code value. For printing density, the default is a density of 2.048. For ITU-R 601-5 luma, the default is 700 mV. |
| 21.6 | 800 | 1 | U8 | Core | Descriptor (see table 1) | Consistently implemented according to spec | Defines the components that make up an image element and their pixel packing order. The valid components are listed in table 1. |
| 21.7 | 801 | 1 | U8 | Core | Transfer characteristic (see table 5A) | Consistently implemented according to spec | Defines the amplitude transfer function used to transform the data from a linear original. The inverse of the transfer function is needed to recreate a linear image element (see table 5A). |
| 21.8 | 802 | 1 | U8 | Core | Colorimetric specification (see table 5B) | Consistently implemented according to spec | Defines the appropriate color reference primaries (for additive color systems like television) or color responses (for printing density) (see table 5A). |
| 21.9 | 803 | 1 | U8 | Core | Bit depth (see table 3A) | Consistently implemented according to spec | Defines the number of bits for each component in the image element. All components must have the same bit depth. Valid bit depths are 1-, 8-, 10-, 12-, and 16-bit integer, and 32- and 64-bit IEEE floating point (see table 3A). |
| 21.10 | 804 | 2 | U16 | Core | Packing (see table 3B) | Consistently implemented according to spec | For image element n, defines the data packing mode. The valid options are listed in table 38. |

| 21.11 | 806 | 2 | U16 | Core | Encoding (see table 3C) | Consistently implemented according to spec | For image element n, defines whether or not the element is run-length encoded. The valid options are listed in table 3C. |
|-------|------|----|-------|------|--|--|--|
| 21.12 | 808 | 4 | U32 | Core | Offset to data | Inconsistent. Sometimes used correctly, sometimes not. | To data for image element n, defines the offset in bytes to the image data for element n from the beginning of the file. |
| 21.13 | 812 | 4 | U32 | | End-of-line padding | Consistently implemented according to spec | end-of-line padding: Specifies the number of padded bytes at the end of each line. The default is 0 (no padding). |
| 21.14 | 816 | 4 | U32 | | End-of-image padding | Consistently implemented according to spec | Specifies the number of padded bytes at the end of each image element. The default is 0 (no padding). |
| 21.15 | 820 | 32 | ASCII | | Description of image element | Inconsistent. Sometimes used correctly, sometimes not. | no definition |
| 22 | 852 | 72 | | | Structure Data structure for image element 2 | Typically no data | See 21 |
| 23 | 924 | 72 | | | Structure Data structure for image element 3 | Typically no data | See 21 |
| 24 | 996 | 72 | | | Structure Data structure for image element 4 | Typically no data | See 21 |
| 25 | 1068 | 72 | | | Structure Data structure for image element 5 | Typically no data | See 21 |
| 26 | 1140 | 72 | | | Structure Data structure for image element 6 | Typically no data | See 21 |
| 27 | 1212 | 72 | | | Structure Data structure for image element 7 | Typically no data | See 21 |
| 28 | 1284 | 72 | | | Structure Data structure for image element 8 | Typically no data | See 21 |
| 29 | 1356 | 52 | | | TBD Reserved for future use | Typically no data | |

Image source information header

| | mage source mornation neader | | | | | | | | |
|-------|------------------------------|--------|-------|------|--|--|--|--|--|
| Field | Offset | Length | Туре | Core | Content | Sample summary | SMPTE 268M definitions | | |
| 30 | 1408 | 4 | U32 | | X offset | Typically no data | Defines the line offset (in pixels) from the first pixel in the original image. The default is 0. This is useful if an image is cropped and the user wishes to specify its location with respect to the original contiguous image. | | |
| 31 | 1412 | 4 | U32 | | Y offset | Typically no data | Defines the frame offset (in lines) from the first line in the original contiguous image. The default is 0. | | |
| 32 | 1416 | 4 | R32 | | X center | Typically no data | Defines the X image center in pixel units (floating point). | | |
| 33 | 1420 | 4 | R32 | | Y center | Typically no data | Defines the Y image center in line units (floating point). | | |
| 34 | 1424 | 4 | U32 | | X original size | Typically no data | Defines the number of pixels per line in the original image. | | |
| 35 | 1428 | 4 | U32 | | Y original size | Typically no data | Defines the number of lines per image in the original image. | | |
| 36 | 1432 | 100 | ASCII | | Source image filename | Inconsistent. Sometimes used correctly, sometimes not. | Defines the source image from which this image was extracted or processed. | | |
| 37 | 1532 | 24 | ASCII | | Source image date/time: yyyy:mm:dd:hh:mm:ssL TZ | Inconsistent. Sometimes used correctly, sometimes not. | Defines the creation time of the source image from which the image was extracted or processed. Formatting is as per clause 3.4 | | |
| 38 | 1556 | 32 | ASCII | | Input device name | Inconsistent. Sometimes used correctly, sometimes not. | no definition | | |
| 39 | 1588 | 32 | ASCII | | Input device serial number | Inconsistent. Sometimes used correctly, sometimes not. | no definition | | |
| 40 | 1620 | 8 | U16*4 | | Border validity: XL, XR, YT, YB border | Typically no data | Defines the region of an image that is eroded due to edge-sensitive filtering operations. The X-left, X-right. V-top, and V-bottom value defines the width of the eroded border. The default is 0,0,0,0 in pixel units (no erosion). | | |

| 41 | 1628 | 8 | U32*2 | Pixel aspect ratio (horizontal:vertical) | Typically no data | Specified as the ratio of a horizontal integer and a vertical integer. For example, a SMPTE 274M signal has a pixel aspect ratio of 1:1, which is 1920 active pixels and 1080 active lines in a 16:9 frame. |
|--------|--|----|-------|--|------------------------|---|
| Data s | Data structure for additional source image information | | | Sample summary | SMPTE 268M definitions | |
| 42.1 | 1636 | 4 | R32 | X scanned size | Typically no data | Defines the horizontal size of the original scanned optical image in millimeters. |
| 42.2 | 1640 | 4 | R32 | Y scanned size | Typically no data | Defines the vertical size of the original scanned optical image in millimeters. |
| 42.3 | 1644 | 20 | TBD | Reserved for future use | Typically no data | |

Industry-specific headers

Motion-picture film information header

| Field | Offset | Length | Туре | Core | Content | Sample summary | SMPTE 268M definitions |
|-------|--------|--------|-------|------|--|-------------------|---|
| 43 | 1664 | 2 | ASCII | | Film mfg. 10 code (2 digits from film edge code) | Typically no data | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |
| 44 | 1666 | 2 | ASCII | | Film type (2 digits from film edge code) | Typically no data | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |
| 45 | 1668 | 2 | ASCII | | Offset in perfs (2 digits from film edge code) | Typically no data | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |
| 47 | 1670 | 6 | ASCII | | Prefix (6 digits from film edge code) | Typically no data | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |

| 48 | 1676 | 4 | ASCII | Count (4 digits from film edge code) | Typically no data | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |
|----|------|-----|-------|--------------------------------------|--|---|
| 49 | 1680 | 32 | ASCII | Format - e.g. Academy | Inconsistent. Sometimes used as summary for source format | Encodes data from machine readable portion of film edge code, according to SMPTE 254. |
| 50 | 1712 | 4 | U32 | Frame position in sequence | Inconsistent. Sometimes used correctly, sometimes no data | Defines the frame number in the image sequence. |
| 51 | 1716 | 4 | U32 | Sequence length (frames) | Inconsistent. Sometimes used correctly, sometimes no data | Defines the total number of frames in the image sequence. |
| 52 | 1720 | 4 | U32 | Held count (1 = default) | Inconsistent. Sometimes used correctly, sometimes no data | Specifies how many sequential frames for which to hold the current frame. In animation, it is often desirable to hold identical frames. |
| 53 | 1724 | 4 | R32 | Frame rate of original (frames/s) | Inconsistent. Sometimes used correctly, sometimes no data | no definition |
| 54 | 1728 | 4 | R32 | Shutter angle of camera in degrees | Inconsistent. Sometimes used correctly, sometimes no data | Defines the shutter angle in degrees of the motion- picture camera. This specifies the temporal sampling aperture. |
| 55 | 1732 | 32 | ASCII | Frame identification - e.g. keyframe | Inconsistent. Sometimes used correctly, sometimes no data | A user-defined field that labels select frames as key frames or wedge frames, etc. |
| 56 | 1764 | 100 | ASCII | Slate information | Inconsistent. Sometimes used correctly, sometimes used as summary of source format | A user-defined ASCII field for recording production information from the camera slates. |
| 57 | 1864 | 56 | TBD | Reserved for future use | Typically no data | no definition |

Television information header

| F | ield | Offset | Length | Туре | Core | Content | |
|---|------|--------|--------|------|------|------------------------------|---|
| | 58 | 1920 | 4 | U32 | | SMPTE time code (Table 6) | The characters are encoded into the 32-bit word according to table 6. |
| | 59 | 1924 | 4 | U32 | | SMPTE user bits (Table 6) | These are encoded according to table 6. |

| 60 | 1928 | 1 | U8 | Interlace (0 = noninterlaced; 1 = 2:1 interlace) | Typically no data | no definition |
|----|------|---|-----|--|--|--|
| 61 | 1929 | 1 | U8 | Field number | Typically no data | Of the first field in the file, may be 1 or 2 for component video, 1 to 4 for NTSC or component video decoded from NTSC, or 1 to 12 for PAL or component video decoded from PAL. Color frame sequence information is useful when decoding and subsequently re-encoding component video. The field number is set to 0 where field designation is inappropriate. |
| 62 | 1930 | 1 | U8 | Video signal standard (see table 4) | Typically no data | Defines the video source. Video signal standards are listed in table 4. |
| 63 | 1931 | 1 | U8 | Zero (for byte alignment) | Typically no data | no definition |
| 64 | 1932 | 4 | R32 | Horizontal sampling rate (Hz) | Typically no data | The clock rate at which samples were acquired. This is an inverse function of the total number of samples per scan line, rather than the active number of pixels per line indicated in field 19. Thus, for SMPTE 274M at 24.00 Hz frame rate, for example, it would be 74.25 MHz. |
| 65 | 1936 | 4 | R32 | Vertical sampling rate (Hz) | Typically no data | The rate at which the scanning of the whole extent of the image is repeated, even if each such scan is incomplete, i.e. is interlaced. Thus, for example, although 625/50 scanning has a true frame rate of 25Hz, its vertical sampling rate would be considered to be 50Hz. |
| 66 | 1940 | 4 | R32 | Temporal sampling rate or frame rate (Hz) | Inconsistent. Sometimes replicates data in field 53, sometimes blank | no definition |
| 67 | 1944 | 4 | R32 | Time offset from sync to first pixel (ms) | Inconsistent. Sometimes 00 00 00 00, sometimes blank | Defines the edge of the digital image with respect to sync and the sampling phase which is necessary to reconstruct a composite image. The sync reference is the reference edge of horizontal sync. |

| 68 | 1948 | 4 | R32 | Gamma | Inconsistent: Sometimes 00 00 00 00, sometimes blank | Defines the power law exponent that represents the gamma correction applied to a video image. In the expression Y = X 1/gamma , the default gamma for NTSC is 2.2. |
|----|------|----|-----|----------------------------------|--|---|
| 69 | 1952 | 4 | R32 | Black level code value | Inconsistent. Sometimes 00 00 00 00, sometimes blank | Defines the digital code value representing reference black (camera lens capped, RGB signal set to 0 mV). For ITU-R 601-5, the default black level code value is 16. |
| 70 | 1956 | 4 | R32 | Black gain | Inconsistent. Sometimes 00 00 00 00, sometimes blank | Defines the linear gain applied to signals below the breakpoint (this is 4.5 for SMPTE 274M). |
| 71 | 1960 | 4 | R32 | Breakpoint | Inconsistent, Sometimes 00 00 00 00, sometimes blank | Defines the signal level above which the gamma law is applied (this is 0.018 of full scale for SMPTE 274M). |
| 72 | 1964 | 4 | R32 | Reference white level code value | Inconsistent. Sometimes 00 00 00 00, sometimes blank | Defines the digital code value representing reference white (90% reflectance white card, RGB signal set to 700 mV). For ITU-R 601-5, the default reference white level code value is 235. |
| 73 | 1968 | 4 | R32 | Integration time (s) | Inconsistent. Sometimes 00 00 00 00, sometimes blank | Defines the temporal sampling aperture of the television camera; most useful for CCD cameras. |
| 74 | 1972 | 76 | ТВО | Reserved for future use | Inconsistent. Sometimes blank, sometimes other data | |
| 74 | 1912 | 70 | 100 | Treserved for future use | sometimes other data | |

User defined data

| Ī | Field | Offset | Length | Туре | Core | Content | Sample summary | SMPTE 268M definitions |
|---|-------|--------|--------|-------|------|---|---|---|
| | 75 | 2048 | 32 | ASCII | | User identification | Inconsistent. Sometimes blank, sometimes other data | no definition |
| | 76 | 2080 | xx | TBD | | User defined - Postage stamp, processing logs, etc. (length is variable with maximum length of 1 Mbyte) | | This section provides an extended area for customized information needed by some users. The format of this section is not defined by the standard. This section is variable length with a maximum length of 1 Mbyte. It may be of zero length |

| | 2304 | 42+ | ASCII | | |
|--|------|-----|-------|--|--|
| | | | | | |

Image data

| Field | Offset | Length | Туре | Core | Content | | |
|-------|--------|--------|---------------|------|---|--|---------------|
| 77 | xx | xx | Array U8*4 | | Image data should start at block boundary (8-K blocks are recommended for efficient use of tape- storage devices). | Consistently implemented according to spec | no definition |

15 APPENDIX B: SUMMARY OF METADATA FIELDS BY OBLIGATION

| Field # | Content | Required/Recommended/Optional |
|---------|--|-------------------------------------|
| 1 | Magic number (SDPX ASCII) | Core Field, required by SMPTE ST268 |
| 2 | Offset to image data in bytes | Core Field, required by SMPTE ST268 |
| 3 | Version number of header format (V1.0 or V2.0) | Core Field, required by SMPTE ST268 |
| 4 | Total image file size in bytes (including file header) | Core Field, required by SMPTE ST268 |
| 9 | Image filename | Strongly recommended for FADGI |
| 10 | Creation date/time: yyyy:mm:dd:hh:mm:ssL TZ | Strongly recommended for FADGI |
| 12 | Creator | Strongly recommended for FADGI |
| 13 | Project name | Strongly recommended for FADGI |
| 14 | Right to use or copyright statement | Recommended for FADGI |
| 17 | Image orientation (see table 2) | Core Field, required by SMPTE ST268 |
| 18 | Number of image elements (1 - 8) | Core Field, required by SMPTE ST268 |
| 19 | Pixels per line | Core Field, required by SMPTE ST268 |
| 20 | Lines per image element | Core Field, required by SMPTE ST268 |
| 21.1 | Data sign $(0 = unsigned; 1 = signed)$ | Core Field, required by SMPTE ST268 |
| 21.6 | Descriptor (see table 1) | Core Field, required by SMPTE ST268 |
| 21.7 | Transfer characteristic (see table 5A) | Core Field, required by SMPTE ST268 |
| 21.8 | Colorimetric specification (see table 5B) | Core Field, required by SMPTE ST268 |
| 21.9 | Bit depth (see table 3A) | Core Field, required by SMPTE ST268 |
| 21.10 | Packing (see table 3B) | Core Field, required by SMPTE ST268 |
| 21.11 | Encoding (see table 3C) | Core Field, required by SMPTE ST268 |
| 21.12 | Offset to data | Core Field, required by SMPTE ST268 |
| 37 | Source image date/time: yyyy:mm:dd:hh:mm:ssL TZ | Recommended for FADGI |
| 38 | Input device name | Recommended for FADGI |
| 39 | Input device serial number | Recommended for FADGI |
| 43 | Film mfg. 10 code (2 digits from film edge code) | Optional for FADGI |
| 44 | Film type (2 digits from film edge code) | Optional for FADGI |
| 50 | Frame position in sequence | Recommended for FADGI |

| 51 | Sequence length (frames) | Recommended for FADGI |
|----|---|-----------------------|
| 76 | User defined - Postage stamp, processing logs, etc. (length is variable with maximum length of 1 Mbyte) | Optional for FADGI |